

**STATE OF IDAHO
IDAHO STATE RACING COMMISSION (ISRC)
APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT
WAGERING**

Application is made to the ISRC for approval to conduct Advance Deposit Wagering in accordance with the Idaho Secretary of State and ISRC Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

NOTICE – By submitting the Application the out-of-state Applicant consents to the jurisdiction of Idaho courts and the application of Idaho law as to all Idaho accounts, wagers and operations.

Application must be filed not later than 30 days in advance of the date scheduled to conduct Advance Deposit Wagering and must comply with IDAPA 11.04.05 Rules Governing Advanced Deposit Wagering. This application must also be accompanied by a bond from a surety company admitted in the state of Idaho or other form of financial security in the amount of \$100,000

1. OUT-OF-STATE ADVANCE DEPOSIT WAGERING FACILITY

- A. Legal business name, mailing address, telephone and fax numbers:
- B. Name, title, license number and expiration date and racing jurisdiction where licensed for all officers:
- C. The names of the trace tracks the advance deposit wagering operator has contracts with that allow the applicant to provide wagering on the product.
- D. Financial information that demonstrates the financial resources to operate.
- E. A detailed budget showing anticipated revenue, expenditures and cash flows by month during the license period.
- F. The number of days planed operation during the fiscal year in which you are seeking to be licensed.
- G. Detailed Plan of Operation
- H. Also a copy of any Multi-Jurisdictional License(s)

2. BUSINESS STRUCTURE

Complete the applicable subsection

B. CORPORATION OR LLC

- 1. Registered name of the corporation:

ISRC CERTIFICATION

**Application received:
Reviewed:**

**Hearing date:
Approval date:**

2. State where incorporated:
3. Registry or file number for the corporation:
4. Name of all officers and directors, titles, addresses, and number of shares of the corporation held by each:
5. Names (true names) of all persons, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
10. Attach the most recent annual financial statement for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

C. OTHER

1. Name(s) of partners/sole proprietor:
2. Addresses of all partners if corporation, date of incorporation:
3. If a partnership, attach partnership agreement.
4. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

3. ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS

- A. List the procedures to establish an Account:
- B. If an application form is used to establish an Account attach a copy of the form.
- C. Name and address of the third party you will use to verify identity, residence and age verification:

4.**OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS**

- A. Submit a copy of your plan for operation.
- B. List the type of deposits you will accept including minimum and maximum amounts:
- C. Identify any fees or transaction-related charges and the amount that will be assessed:

5. SECURITY ACCESS

- A. Attach your security access policy and safeguards. Policy must include the following:
 - 1. Description of the technology to ensure identity, residence, and age verification when an Account is established:
 - 2. Description of the technology to ensure confidentiality of the Means of Personal Identification:
 - 3. Methods and locations available for Account Holders to withdraw funds from their Account:
 - 4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:

6. PARI-MUTUEL

- A. Name, address and telephone number of the pari-mutuel audit firm:
- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, backup systems, names, locations and expiration date of the service contract:
- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

7. CONTRACTS AND AGREEMENTS

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:
- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed.